# Demystifying Vision Transformers:

# **From Theory to Industry Insights**

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NEC Laboratories America

# Hello SONOMA STATE ! Some context ..

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2023-

Towards Reliable Learning Systems: Efficient, Secure, and Generalizable Generative Models

by

Abhishek Aich

Doctor of Philosophy, Graduate Program in Electrical Engineering University of California, Riverside, March 2023 Prof. Amit K. Roy-Chowdhury, Chairperson

# Hello SONOMA STATE Some context ..

# NEC (

- Nippon Electric Company is a Japanese multinational information technology company
- HQ: Tokyo, Japan
- Est. 1899, approx. 125 years old

NEC Laboratories America

NEC

- NEC Corporation's global network of corporate research laboratories.
- HQ: Princeton, NJ
  - Our office: San Jose, CA
- Est. 1988

## **My Current Research Focus**



**Open-Vocabulary Perception** 

# **Talk Outline**

- Zero-to-Vision Transformers (ViT)
  - Capturing Images
  - Images to Neural Networks
  - Neural Networks to Convolutional Neural Networks
  - Convolutional Neural Networks to ViT
- ViT and their Computational Costs
- From Paper to Deployment
- (unrelated) Pursuing Ph.D.

## Zero-to-ViT

# From capturing an image to passing it through a ViT (from *efficiency* perspective)



Real world

- Light is reflected from physical objects

**Light source** 



Light capturing device

- Focuses (reflected) light on to image sensor and converts light into electrical signals.
- Each pixel records intensity and color information.



Real world

- Light is reflected from physical objects

**Light source** 



Light capturing device

- Focuses (reflected) light on to image sensor and converts light into electrical signals.
- Each pixel records intensity and color information.







- Sensors record the intensity values for
  - 3 channels [Red, Green, Blue]
  - 8-bit standard

-

 $\therefore$  we get 2<sup>8</sup> = 256 values  $\Rightarrow$  [0, 255]





**Digital Image** 





Abhishek Aich | https://abhishekaich27.github.io/ 12

## Traditionally, ....



Image

To perform any "analysis" on this "image", we would require some multiple steps, broadly divided into three steps.

[Ref.1] CSE576: Computer Vision (Spring 2006), Basics1.pdf by Linda Shapiro, University of Washington

# Traditionally, ....



Image

**High level** 

Low level (Image-to-Image) Image level manipulation e.g. normalization, edge detection Mid level (Image-to-Features) Extract features e.g. regions of homogeneous colors

(Features-to-Analysis) Use features for downstream analysis e.g. object detection

## Recent times, ....



Image



## Recent times, ....



Image

# Remember that with current available hardware (GPU/CPU):

- we are <u>memory</u> constrained
  - we are <u>time</u> constrained
- &
- we want the <u>best</u> performance



- we are <u>memory</u> constrained
- we are also <u>time</u> constrained
- we want the <u>best</u> performance



- Number of input parameters = Number of pixels
  - E.g. Image of size (224, 224, 3) would result in size of 150528 or 0.15M parameters!
  - So if the first layer is built with 1000 parameters, the matrix is of size [150528, 1000]



- we are <u>memory</u> constrained
- we are also <u>time</u> constrained
- we want the <u>best</u> performance



- Slow!
- Computationally expensive (lots of energy required!)
- Also, (extremely?) poor feature learning



- we are <u>memory</u> constrained
- we are also <u>time</u> constrained
- we want the <u>best</u> performance



- Fully connected NN required dense interaction at every layer.
  - Also, <u>no parameter sharing</u> among the pixels.

So, "convolutional" NNs were designed with the idea of <u>sparse</u> interaction and <u>sharing</u> parameters

We will see this in the next slide.



Image

- we want the <u>best</u> performance

157	153	174	168	150	152	129	151	172	161	155	156
155	182	163	74	75	62	33	17	110	210	180	154
180	180	50	14	34	6	10	33	48	106	159	181
206	109	5	124	131	111	120	204	166	15	56	180
194	68	137	251	237	239	239	228	227	87	n	201
172	106	207	233	233	214	220	239	228	98	74	206
188	88	179	209	185	215	211	158	139	75	20	169
189	97	165	84	10	168	134	11	31	62	22	148
199	168	191	193	158	227	178	143	182	106	36	190
206	174	156	252	236	231	149	178	228	43	96	234
190	216	116	149	236	187	86	150	79	38	218	241
190	224	147	108	227	210	127	102	36	101	255	224
190	214	173	66	103	143	96	50	2	109	249	215
187	196	235	75	1	81	47	0	6	217	255	211
183	202	237	145	0	0	12	108	200	138	243	236
196	206	123	207	177	121	123	200	175	13	96	218
	-	_	_	-	_	-	_	-	-	_	_



Why? ⇒ "local pixels are more strongly related than distant ones"



Image

- we are also <u>time</u> constrained
- we want the <u>best</u> performance





-



we are also <u>time</u> constrained
we want the <u>best</u> performance

157	153	174	168	150	152	129	151	172	161	155	156
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189	97	165	84	10	168	134	11	31	62	22	148
199	168	191	193	158	227	178	143	182	106	36	190
205	174	155	252	236	231	149	178	228	43	96	234
190	216	116	149	236	187	86	150	79	38	218	241
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183	202	237	145	0	0	12	108	200	138	243	236
196	206	123	207	177	121	123	200	175	13	96	218

At multiple NN layers, these kernels are made to travel across the image.

Why?  $\Rightarrow$  "patterns may appear anywhere in the image"



1	7	1	18	150	152	129	151	172	161	155	156
	4	_	_	75	62	33	17	110	210	180	154
	_	_		34	6	10	33	48	106	159	181
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196	206	123	207	177	121	123	200	175	13	96	218

> To allow the network to learn better features, we stack multiple kernels together.

# But is this good enough?

# Image Û **Neural Networks** Û Analysis NOTE: **Remember that with current** available hardware (GPU/CPU): we are memory constrained we are also time constrained

- we want the <u>best</u> performance

1			8	150	152	129	151	172	161	155	156
	4	4		75	62	33	17	110	210	180	154
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# Zero-to-ViT: Neural Networks to Convolutional Neural Networks

## But is this good enough?

## $\checkmark$

No. Kernels look at small regions and miss global information

Well, didn't we make them "small" by choice?

# Yes!, and it still works great.

# BUT, with increase in available compute, performance gains are minimal.



# Enter Transformers!

# Image ſ **Neural Networks** Û Analysis NOTE: Remember that with current available hardware (GPU/CPU):

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# to Vision Transformers

# Zero-to-ViT: Convolutional Neural Networks

# Enter **Transformers!**

# For sometime, let's keep the efficiency aside.

## Quick history ...

#### Attention Is All You Need

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#### Abstract

The dominant sequence transduction models are based on complex recurrent or convolutional neural networks in an encoder-decoder configuration. The best performing models also connect the encoder and decoder through an attention mechanism. We propose a new simple network architecture, the Transformer, based solely on attention mechanisms, dispensing with recurrence and convolutions entirely. Experiments on two machine translation tasks show these models to be superior in quality while being more parallelizable and requiring significantly less time to train. Our model achieves 28.4 BLEU on the WMT 2014 Englishto-German translation task, improving over the existing best results, including ensembles by over 2 BLEU. On the WMT 2014 English-to-French translation task, our model establishes a new single-model state-of-the-art BLEU score of 41.0 after training for 3.5 days on eight GPUs, a small fraction of the training costs of the best models from the literature. We show that the Transformer generalizes well to other tasks by applying it successfully to English constituency parsing both with large and limited training data.

#### 1 Introduction

Recurrent neural networks, long short-term memory [12] and gated recurrent [7] neural networks in particular, have been firmly established as state of the art approaches in sequence modeling and transduction problems such as language modeling and machine translation [31, 2, 5]. Numerous efforts have since continued to push the boundaries of recurrent language models and encoder-decoder architectures [34, 22, 14].

Transformers for *language*.

- **Proposed for language** translation task in 2017.
- Take away message: Selfattention based (language) sequence modeling is powerful!

## Quick history ..

### AN IMAGE IS WORTH 16x16 WORDS: TRANSFORMERS FOR IMAGE RECOGNITION AT SCALE

Alexey Dosovitskiy<sup>\*,1</sup>, Lucas Beyer<sup>\*</sup>, Alexander Kolesnikov<sup>\*</sup>, Dirk Weissenborn<sup>\*</sup>, Xiaohua Zhai<sup>\*</sup>, Thomas Unterthiner, Mostafa Dehghani, Matthias Minderer, Georg Heigold, Sylvain Gelly, Jakob Uszkoreit, Neil Houlsby<sup>\*,1</sup>

\*equal technical contribution, <sup>†</sup>equal advising Google Research, Brain Team {adosovitskiy, neilhoulsby}@google.com

#### ABSTRACT

While the Transformer architecture has become the de-facto standard for natural language processing tasks, its applications to computer vision remain limited. In vision, attention is either applied in conjunction with convolutional networks, or used to replace certain components of convolutional networks while keeping their overall structure in place. We show that this reliance on CNNs is not necessary and a pure transformer applied directly to sequences of image patches can perform very well on image classification tasks. When pre-trained on large amounts of data and transferred to multiple mid-sized or small image recognition benchmarks (ImageNet, CIFAR-100, VTAB, etc.), Vision Transformer (ViT) attains excellent results compared to state-of-the-art convolutional networks while requiring substantially fewer computational resources to train.<sup>1</sup>

#### 1 INTRODUCTION

Self-attention-based architectures, in particular Transformers (Vaswani et al., 2017), have become the model of choice in natural language processing (NLP). The dominant approach is to pre-train on a large text corpus and then fine-tune on a smaller task-specific dataset (Devlin et al., 2019). Thanks to Transformers' computational efficiency and scalability, it has become possible to train models of unprecedented size, with over 100B parameters. With the models and datasets growing, there is still no sign of saturating performance.

# Vision Transformers (ViT)

Adapted for image/vision task in 2020.

Take away message: Selfattention based sequence modeling is powerful for images as well!

## Why are they everywhere?

## - Vision Transformers show better results when the dataset scales up.



## Why are they everywhere?

- Vision Transformers show better results when the dataset scales up.
- And less inductive bias!



## **Self-attention?**

# At any single layer of CNNs ...



Zero-to-ViT: Convolutional Neural Networks to Vision Transformers

 Each output location for next layer is computed by convolving the kernel over a local patch of pixels.

## $\mathbf{1}$

- Neighborhood patches do not provide any context.

## **Self-attention?**

# At any single layer of Transformers ...

- Each patch directly participates or attends in computation of all other output patches in the same layer.

# Let's see how.





"Transformer" :



\* They *transform* a set of vectors in some representation space into a corresponding set of vectors in some new space, having the same dimensionality.



# **Vision Transformers**



Why? Because Transformers process sequences.



153 174

206

168 150

251

237

236 231 149 178

227 210 127 102

103

137

174 155 252

224 147

206 123 207 177

190

183

108

152 129

239 239

8

123 200

121

151

228

72 161 156 156

3

6

43

101

200

75 13 96

Q

71 201

95 234

255 224

87

- we want the <u>best</u> performance





Each token is now of length  $D < p^2$ 





Why? Because we need to point to the Transformer the position of the patch in the image




# Analysis



Image

ſ

**Neural Networks** 

↓ Analysis

- we want the <u>best</u> performance

### **Vision Transformers**



#### size = (*N*, *D*)

### **Vision Transformers**

size = (*N*, *D*)



 $y_n = \sum a_{nm} x_m$ 

### Make sure:

- contributions of *certains* x\_n to *certain* y\_n would be higher (and lowers for others) and
- not cancel out each other

# siz

### **Vision Transformers**







We can think of the customer
'attending' to the particular brand
(value) of milk (output) whose key
most closely matches their query.

### **Vision Transformers**



### **Vision Transformers**



$$y_n = \sum_{m=1}^{n} a_{nm} x_m$$

$$a_{nm} = exp(x^{T}_{n}x_{m}) / \sum_{m} exp(x^{T}_{n}x_{m})$$

# **<b>Matrix form**

Y = Softmax(XX<sup>T</sup>)X

No learnable/trainable parameter

### **Vision Transformers**



 $Y = \text{Softmax}(XX^{T})X$   $\downarrow$   $X \cong XU$ Allow a learnable parameter U

 $Y = \text{Softmax}(XUU^{T}X^{T})XU$ 

### **Vision Transformers**



 $Y = \text{Softmax}(XUU^{T}X^{T})XU$  $Q = XU^{(q)}$  $K = XU^{(k)}$ **Allow independent** learning  $V = X U^{(v)}$  $Y = \text{Softmax}(QK^T)V$ 



#### **Vision Transformers** $Y = \text{Softmax}(QK^T)V$ size = (*N*, *D*) 1 2 2 mat mul = Transformer Layer ۰ softmax scale This is single-head self-attention. mat mul Ν Ν size = (*N*, *D*) $\mathbf{K}$ **U**(q) **U**<sup>(k)</sup> **U**(v) Y Х х



### **Vision Transformers**





### **Vision Transformers**





### **Vision Transformers**



Till this point, the outputs are linear combinations of vectors in X (some non-linearity from the softmax function, but still restrictive).



### **Vision Transformers**



- Introduce an additional linear layer!





Yay! My research.

# To understand the computational cost &

# connection to my research









Remember that with current available hardware (GPU/CPU):

- we are <u>memory</u> constrained
- we are also <u>time</u> constrained
- we want the <u>best</u> performance



**Object Detection** 

# Dense prediction = Object detection, Image segmentation, etc.



Semantic Segmentation



Instance Segmentation



- we are <u>memory</u> constrained
- we are also <u>time</u> constrained
- we want the <u>best</u> performance

# Traditionally ...



- Region Proposals : Needs carefully chosen
  - anchor box sizes (the yellow boxes) and aspect ratios
  - Non-Maximum Suppression (NMS) to remove duplicates



- we are also <u>time</u> constrained
- we want the <u>best</u> performance

# Traditionally ...



Classifier



- we want the <u>best</u> performance

**DEtection TRansformer [Ref. 7]** 

## ViTs to the rescue. Enter DETR!









 Backbone = it's a simple feature extractor from images. For example, any CNN that provides difference scales of features.

"Backbone" cause the rest can't work without it.

Mask2Former:





Mask2Former:

 Encoder module = Make all the multi-scale features "attend" to each other and enhance their representations.





 Decoder module = Take the "enhanced" features from encoder and decode them into masks and corresponding labels.









- we are also time constrained
- we want the <u>best</u> performance





we want the <u>best</u> performance

lmage ↓

**Transformer Architecture** 

**↓** Dense perception

## Mask2Former:

**NOTE:** 

Remember that with current available hardware (GPU/CPU):

- we are memory constrained
- we are also time constrained
- we want the <u>best</u> performance



# Mask2Former:

- Unfortunately, for Mask2Former, good performance comes at a price of expensive computations.

We made the backbone smaller, but now the encoder brings the most computations.





## Mask2Former:

- Unfortunately for Mask2Former, good performance comes at a price of expensive computations.





# Mask2Former:

- Introducing PRO-SCALE (recently accepted to ICLR 2025)





## Mask2Former:

- Introducing PRO-SCALE (recently accepted to ICLR 2025)





# Mask2Former:

- Introducing PRO-SCALE (recently accepted to ICLR 2025)




#### ViTs and their Computational Costs



#### ViTs and their Computational Costs



### Mask2Former:

- **PRO-SCALE works great !**
- Maintains performance while reducing computations.



**From Paper to Deployment** 

# So did we solve the computations problem?

#### From Paper to Deployment



## For dense perception,

- Real world usage requires (or hopes for) no re-training.
- Pre-training is at core.
- Performance is becoming a data problem given compute and transformers.
- Making the models smaller with same performance is still an active research area.

## (unrelated) Pursuing Ph.D.

Pursuing Ph.D.

- Getting a mentor early helps immensely.
- You just have to be right once.
- Industry has become a solid option.
- AI has driven Engineers and Scientists to same point, going hand-in-hand.



# Thank you!